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PTO/SB/01 (6-95)

Approved for use through: 10/31/98 OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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0010/PTO
Rev. 6/95

U.S. Department of Commerce
Patent and Trademark Office

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number

H 3172 PCT/US

First Named Inventor

HECK, Stephan

COMPLETE IF KNOWN

Application Number

09/554,631

Filing Date

07/28/2000

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

UNSATURATED PALM OIL FATTY ALCOHOLS

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

11/09/1998

as United States Application Number or PCT International

Application Number

PCT/EP98/07148

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
197 50 800.6	Germany	11/17/1997	<input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

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DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP98/07148	11/09/1998	

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☐ Firm Name Customer Number or label

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
John E. Drach	32,891	Aaron R. Ettelman	42,516
Steven J. Trzaska	36,296	Henry E. Millson, Jr.	18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number ☐ or label **23657** OR ☒ Fill in correspondence address below

Name John E. Drach
 Address Cognis Corporation - Patent Department
 Address 2500 Renaissance Boulevard, Suite 200
 City Gulph Mills State PA ZIP 19406
 Country USA Telephone 610-278-4925 Fax 610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned

Given Name	Stephan	Middle Initial		Family Name	Heck	Suffix e.g. Jr.	
Inventor's Signature	<i>Stephan Heck</i>				Date	June 09, 2000	
Residence: City	Pulheim	State		Country	Germany	Citizenship	Germany
Post Office Address	Aggerstrasse 12 Patriziusstr. 12						
Post Office Address							
City	50259 Pulheim	State		Zip		Country	Germany
Applicant Authority							

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name	Norbert					Middle Initial		Family Name	Klein					Suffix e.g. Jr.							
Inventor's Signature	<i>Norbert Klein</i>										Date	June 08, 2000									
Residence: City	Mettmann					State		Country	Germany					Citizenship	Germany						
Post Office Address	Roemerstrasse 1 h																				
Post Office Address																					
City	40822 Mettmann					State		Zip		Country	Germany					Applicant Authority					
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name	Horst-Dieter					Middle Initial		Family Name	Komp					Suffix e.g. Jr.							
Inventor's Signature											Date										
Residence: City	Langenfeld					State		Country	Germany					Citizenship	Germany						
Post Office Address	Kolpingstrasse 33																				
Post Office Address																					
City	40764 Langenfeld					State		Zip		Country	Germany					Applicant Authority					
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name	Christiane					Middle Initial		Family Name	Boehr					Suffix e.g. Jr.							
Inventor's Signature											Date										
Residence: City	Leverkusen					State		Country	Germany					Citizenship	Germany						
Post Office Address	Koelner Strasse 30																				
Post Office Address																					
City	51379 Leverkusen					State		Zip		Country	Germany					Applicant Authority					
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
Given Name	Norbert					Middle Initial		Family Name	Huebner					Suffix e.g. Jr.							
Inventor's Signature	<i>Norbert Huebner</i>										Date	June 08, 2000									
Residence: City	Duesseldorf					State		Country	Germany					Citizenship	Germany						
Post Office Address	Brockenstrasse 12																				
Post Office Address																					
City	40597 Duesseldorf					State		Zip		Country	Germany					Applicant Authority					
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto																					

DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Name of Additional Joint Inventor, if any:

☐

A petition has been filed for this unsigned inventor

Given Name	Alfred	Middle Initial		Family Name	Westfechtel	Suffix e.g. Jr.	
------------	--------	----------------	--	-------------	-------------	-----------------	--

Inventor's Signature	<i>Alfred Westfechtel</i>	Date	June 2, 2000
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Residence: City	Hilden	State		Country	Germany	Citizenship	Germany
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Post Office Address	Menzelweg 74
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Post Office Address	
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City	40724 Hilden	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐

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Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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Inventor's Signature		Date	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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☐ Firm Name Customer Number or label

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
John E. Drach Steven J. Trzaska	32,891 36,296	Aaron R. Ettelman Henry E. Millson, Jr.	42,516 18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number or label **23657** OR ☒ Fill in correspondence address below

Name	John E. Drach						
Address	Cognis Corporation - Patent Department						
Address	2500 Renaissance Boulevard, Suite 200						
City	Gulph Mills	State	PA	ZIP	19406		
Country	USA	Telephone	610-278-4925	Fax	610-278-6548		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned

Given Name	Stephan	Middle Initial		Family Name	Heck	Suffix e.g. Jr.	
------------	---------	----------------	--	-------------	------	-----------------	--

Inventor's Signature	<i>Stephan Heck</i>	Date	
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Residence: City	Pulheim	State		Country	Germany	Citizenship	Germany
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Post Office Address	Aggerstrasse 12 <i>Patriziusstr. 12</i>						
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Post Office Address									
City	50259 Pulheim	State		Zip		Country	Germany	Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Norbert	Middle Initial		Family Name	Klein	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City	Mettmann	State		Country	Germany	Citizenship	Germany
Post Office Address	Roemerstrasse 1 h						
Post Office Address							
City	40822 Mettmann	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Horst-Dieter	Middle Initial		Family Name	Komp	Suffix e.g. Jr.	
Inventor's Signature	<i>Horst-Dieter Komp</i>				Date	June 08, 2000	
Residence: City	Langenfeld	State		Country	Germany	Citizenship	Germany
Post Office Address	Kolpingstrasse 33						
Post Office Address							
City	40764 Langenfeld	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Christiane	Middle Initial		Family Name	Boehr	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City	Leverkusen	State		Country	Germany	Citizenship	Germany
Post Office Address	Koelner Strasse 30						
Post Office Address							
City	51379 Leverkusen	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Norbert	Middle Initial		Family Name	Huebner	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Brockenstrasse 12						
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ADDITIONAL INVENTOR(S)
Supplemental SheetName of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Alfred	Middle Initial		Family Name	Westfechtel	Suffix e.g. Jr.	
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Inventor's Signature	<i>Alfred Westfechtel</i>	Date	
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Name	John E. Drach							
Address	Cognis Corporation - Patent Department							
Address	2500 Renaissance Boulevard, Suite 200							
City	Gulph Mills				State	PA	ZIP	19406
Country	USA	Telephone	610-278-4925		Fax	610-278-6548		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Given Name	Stephan	Middle Initial		Family Name	Heck	Suffix e.g. Jr.	
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Inventor's Signature	<i>Stephan Heck</i>	Date	
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Residence: City	Pulheim	State		Country	Germany	Citizenship	Germany
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Post Office Address	Aggerstrasse 9 Patriziusstr. 12						
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Inventor's Signature						Date	
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Post Office Address	Roemerstrasse 1 h						
Post Office Address							
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Residence: City	Langenfeld	State		Country	Germany	Citizenship	Germany
Post Office Address	Kolpingstrasse 33						
Post Office Address							
City	40764 Langenfeld	State		Zip		Country	Germany
						Applicant Authority	

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Christiane	Middle Initial		Family Name	Boehr	Suffix e.g. Jr.	
Inventor's Signature	<i>Christiane Bohr</i>					Date	June 06, 2000
Residence: City	Leverkusen	State		Country	Germany	Citizenship	Germany
Post Office Address	Koelner Strasse 30						
Post Office Address							
City	51379 Leverkusen	State		Zip		Country	Germany
						Applicant Authority	

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Norbert	Middle Initial		Family Name	Huebner	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Brockenstrasse 12						
Post Office Address							
City	40597 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Alfred	Middle Initial		Family Name	Westfechtel	Suffix e.g. Jr.	
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Inventor's Signature	<i>Alfred Westfechtel</i>	Date	
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Residence: City	Hilden	State		Country	Germany	Citizenship	Germany
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Post Office Address	Menzelweg 74
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Post Office Address	
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City	40724 Hilden	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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☐ Additional inventors are being named on supplemental sheet(s) attached hereto